

# CS 33 Week 7

Section 1G, Spring 2015  
Prof. Eggert (TA: Eric Kim)  
v1.0

# Announcements

- Lab 3 was due Wednesday ("smashing" lab)
  - May be on midterm 2!
- HW 5 out!
  - Due: May 29th (2 weeks from now)
- Midterm 2 on Tuesday!
  - Open book, open notes

# Overview

- Concurrency
  - Process-level, multiplexing, thread-level
- Synchronization
  - Semaphores, Mutexes
- MT 2 Review

# Motivation

- Why do we care about concurrency?

Primarily: Performance!

To take advantage of multiple cores, run code in parallel.

(We've seen this already in Instruction-Level Parallelism,  
such as pipelining)

# Scenario

- We have a problem that can be easily broken up into separate "jobs".
- Goal: efficiently execute all jobs.

# Concurrency: Processes

- Simple idea: create a separate process for each job.

# Processes

- A process is an executing program
- Linux: Use 'top' or 'ps' to view processes

Each process has a Process ID (PID).

```
[ericki@lnxsrv04 ~]$ ps -u ericki
  PID TTY          TIME CMD
 7040 ?        00:00:00 sshd
 7042 pts/27    00:00:00 bash
 7116 pts/27    00:00:00 emacs
26762 ?        00:00:00 sshd
26764 pts/19    00:00:00 bash
26792 pts/19    00:00:00 ps
```

# Processes in C: fork()

- In C, can create a new process with fork()

```
#include <stdio.h>
#include <sys/types.h>

int main() {
    pid_t pid = fork();
    int val = 0;
    if (pid == 0) {
        printf("In child process! pid was: %d\n", pid);
        val = 7;
    } else {
        printf("In parent process! (Child's pid is: %d)\n", pid);
        val = 42;
    }
    printf("  [val=%d] Exiting.\n", val);
    return 0;
}
```

```
#include <stdio.h>
#include <sys/types.h>

int main() {
    pid_t pid = fork();
    int val = 0;
    if (pid == 0) {
        printf("In child process! pid was: %d\n", pid);
        val = 7;
    } else {
        printf("In parent process! (Child's pid is: %d)\n", pid);
        val = 42;
    }
    printf(" [val=%d] Exiting.\n", val);
    return 0;
}
```

```
$ gcc -o exfork exfork.c
$ ./exfork
In child process! pid was: 0
[val=7] Exiting.
In parent process! (Child's pid is: 17042)
[pid=42] Exiting.
```

**Question: Can this program output other printout orders?**

# fork() properties

- For most part, child and parent processes are separate
- Separate memory/address space, registers, etc.
- Note: Child inherits parent's open file descriptors!

```
#include <stdio.h>
#include <sys/types.h>
void disp_file(FILE* f, int val) {
    char line[100];
    fgets(line, 99, f);
    line[100] = 0;
    printf("[val=%d] File contents: %s\n", val, line);
}
int main() {
    FILE* f = fopen("myfile.txt", "r");
    pid_t pid = fork();
    int val = 0;
    if (pid == 0) {
        printf("In child process! pid was: %d\n", pid);
        val = 7;
    } else {
        printf("In parent process! (Child's pid is: %d)\n", pid);
        val = 42;
    }
    disp_file(f, val);
    return 0;
}
```

```
$ gcc -o exfork2 exfork2.c
$ ./exfork2
```

**Question:** What gets output?

**Answer:**

In parent process! (Child's pid is: 18063)

In child process! pid was: 0  
[val=42] File contents: badwolf

[val=7] File contents: @

**Huh?!**

```

#include <stdio.h>
#include <sys/types.h>
void disp_file(FILE* f, int val) {
    char line[100];
    fgets(line, 99, f);
    line[100] = 0;
    printf("[val=%d] File contents: %s\n", val, line);
}
int main() {
    FILE* f = fopen("myfile.txt", "r");
    pid_t pid = fork();
    int val = 0;
    if (pid == 0) {
        printf("In child process! pid was: %d\n", pid);
        val = 7;
    } else {
        printf("In parent process! (Child's pid is: %d)\n", pid);
        val = 42;
    }
    disp_file(f, val);
    return 0;
}

```

Child and parent \*share\* file descriptor tables, including seek locations!

**Fix:** Add a line that resets pointer to beginning of file.



```

void disp_file(FILE* f, int val) {
    char line[100];
    fseek(f, 0, SEEK_SET);
    fgets(line, 99, f);
    line[100] = 0;
    printf("[val=%d] File contents: %s\n", val, line);
}

```

# Processes: Pros/Cons

## Pros

Simple to code. Processes can't interfere with each other (separate memory/stack, etc.).

Utilizes *\*OS's\** process scheduling system to maximize concurrency (less work for us!)

## Cons

Difficult for processes to communicate. Can still be done, but is somewhat expensive.

Large overhead to spawning new processes - if each job is fairly quick, then might simply be *\*faster\** to do jobs in single process!

# Multiplexing

- Idea: Only use **\*one\*** process to perform multiple jobs.
- "Take turns" executing each job.

# Multiplexing

- Typical ingredients:
  - `select()`, `FD_SET`, `FD_ISSET`, `FD_CLEAR`, etc.

# Terminology: "blocking"

A function `fn()` is called "blocking" if it:

Halts execution of the current thread while `fn()` is running.

```
int main() {
    char* dataset = read_dataset(); // blocking
    float mn = compute_mean(dataset); // blocking
    printf("  Mean is: %f\n", mn);
    return 0;
}
```

# Non-blocking

```
int main() {
    struct waitstruct* rval = read_dataset(); // non-blocking
    while (rval->status == 0)
        sleep(1);
    float mn = compute_mean(rval->dataset); // blocking
    printf("  Mean is: %f\n", mn);
    return 0;
}
```

# Multiplexing: Pros/Cons

## Pros:

Shared memory, easy to communicate information between each job.

## Cons:

Only one process runs at a time! No performance gains from parallelism here.

Your program must be structured in a particular way to use this approach.

# Threading

- "Lightweight" method of concurrency
- Similar to processes:
  - Main thread spawns new threads
- Similar to multiplexing:
  - All threads share same memory space

**Best of both worlds?**

# Note: gcc and threads

- To use pthreads in your C programs, add the "-lpthread" option to gcc command:

```
$ gcc -o mythread mythread.c -lpthread
```



Note: goes at end!

# Example: C

```
#include <stdio.h>
#include <pthread.h>
#include <semaphore.h>
void* threadjob(void *arg) {
    int* val = ((int*) arg);
    *val = 7;
    printf(" Thread finished.\n");
    return NULL;
}
int main() {
    pthread_t pth;
    int myval = 42;
    printf("(1) myval is: %d\n", myval);
    pthread_create(&pth, NULL, threadjob, &myval);
    pthread_join(pth, NULL);
    printf("(2) myval is: %d\n", myval);
    return 0;
}
```

**Question: What does program output?**

**Answer:**

- (1) myval is: 42
- Thread finished.
- (2) myval is: 7

**Questions: Are there other possible outputs?**

**Answer:**

No! `pthread_join()` enforces a consistency.

# Example: C

```
#include <stdio.h>
#include <pthread.h>
#include <semaphore.h>
void* threadjob(void *arg) {
    int* val = ((int*) arg);
    *val = 7;
    printf(" Thread finished.\n");
    return NULL;
}
int main() {
    pthread_t pth;
    int myval = 42;
    printf("(1) myval is: %d\n", myval);
    pthread_create(&pth, NULL, threadjob, &myval);

    printf("(2) myval is: %d\n", myval);
    return 0;
}
```

**Question: What are the possible outputs of the program?**

**Answer:**

(1) myval is: 42

Thread finished.

(2) myval is: 7

(1) myval is: 42

(2) myval is: 42

Thread finished.

**Suppose we removed that `pthread_join()` call...**

# Threading: Pros/Cons

## Pros:

- Shared memory, easy to communicate information between threads.
- Much less overhead than processes.
- Performance improves due to parallel execution!

## Cons:

Programmer must be careful about threads reading/writing to **shared memory**. Concurrency bugs may occur if not careful.

# Synchronization

- Threads allow a lightweight way to perform concurrency with shared variables
  - "With great power, comes great responsibility..."

**Concurrency bugs:** Program *\*sometimes\** works,  
data is *\*sometimes\** wrong, crashes *\*sometimes\**...

**Must carefully govern access  
to shared variables!**



# Semaphores

- Popular synchronization primitive
- A counter
  - Semaphores are created with a fixed number of  $N$  "tickets"
- If  $N=1$ , then is a binary semaphore
  - aka "mutex"

# Operations

```
void P(sem_t* s)
```

**"I want access!"**



Aka "sem\_wait(s)". Decrements semaphore by 1 if possible. If not possible, then wait until possible, ie another thread calls V().

```
void V(sem_t* s)
```

**"I'm done!"**



Aka "sem\_post(s)", or "sem\_wakeup(s)". Increments semaphore by 1. If there are threads waiting to increment s, then this wakes up one of the threads, allowing the thread to continue running.

# Example: Bounded Shared Buffer

- "Producer/Consumer" Scenario
- Application: Playing a video
  - Video decoder is constantly decoding frames and placing them in a buffer (ie each frame is an image)
  - Video player is constantly taking images from the buffer, and displaying them on the screen
- Guard access to buffer carefully

```
typedef struct {  
    int *buf; /* Buffer array */  
    int n; /* nb slots in buffer */  
    int front; /* buf[(front+1)%n] is first item */  
    int rear; /* buf[rear%n] is last item */  
    sem_t mutex; sem_t slots; sem_t items;  
} sbuf_t;
```

# First attempt

```
void insert(sbuf_t* sp, int item) {  
    P(&sp->mutex);  
    sp->buf[(++sp->rear)%(sp->n)] = item;  
    V(&sp->mutex);  
}
```

```
int remove(sbuf_t *sp) {  
    int x;  
    P(&sp->mutex);  
    x=sp->buf[(++sp->front)%(sp->n)];  
    V(&sp->mutex);  
    return x;  
}
```

**Question:** What's wrong with this implementation? Any synchronization bugs?

**Answer:** Insert can overwrite existing entries! No synchronization bugs though.

# Second attempt

```
void insert(sbuf_t* sp, int item) {  
    P(&sp->slots);  
    P(&sp->mutex);  
    sp->buf[ (sp->rear)%(sp->n) ] = item;  
    V(&sp->mutex);  
    V(&sp->items);  
}
```

```
int remove(sbuf_t *sp) {  
    int x;  
    P(&sp->items);  
    P(&sp->mutex);  
    x=sp->buf[ (sp->front)%(sp->n) ];  
    V(&sp->mutex);  
    V(&sp->slots);  
    return x;  
}
```

**Question:** Anything wrong with this implementation? Any synch bugs?

**Answer:** Nope!

# MT2 Review

- Floating Point
- Program Optimization
- (Basic) Processor Architecture
- Instruction Level Parallelism
- Concurrency
- Synchronization
- *MT 1 topics*

# Q: Optimization

One compiler optimization makes use of the associative property to break data dependencies:

$acc = (acc * data[i]) * data[i+1]$     **vs**     $acc = acc * (data[i] * data[i+1])$

Would an optimization based on the **commutative** property ever speed up a program? If so, give a scenario where a speedup would occur due to the commutative property, and explain why. If not, explain why not.

$$a + b = b + a \quad \leftarrow \text{Commutative Property}$$

# A: Optimization

Applying the commutative property will **not** speed up execution.

The processor is *already* utilizing the commutative property. When the processor is determining which micro-instructions to run, it will perform operations out-of-order to maximize performance. For instance, if an Adder functional unit is idle, the processor will send any (independent) pending addition executions to the Adder, regardless of order.

# Q: Synchronization

```
int main() {
    pthread_t tid[N]; int i, *ptr;
    for (i=0; i<N; i++) {
        ptr = Malloc(sizeof(int)); *ptr = i;
        Pthread_create(&tid[i],NULL,fn,ptr);
    }
    for (i=0; i<N; i++)
        Pthread_join(tid[i], NULL);
    exit(0);
}
```

```
void *fn(void *vargp) {
    int myid = *((int *)vargp);
    Free(vargp);
    printf("%d\n",myid);
    return NULL;
}
```

**Question:** Are there any race conditions in this code?

**Answer:** Nope. Careful use of Malloc/Free prevents possible bugs.

# Q: Synchronization

```
int main() {
    pthread_t tid[N]; int i, *ptr;
    for (i=0; i<N; i++) {
        ptr = Malloc(sizeof(int)); *ptr = i;
        Pthread_create(&tid[i], NULL, fn, ptr);
    }
    for (i=0; i<N; i++)
        Pthread_join(tid[i], NULL);
    exit(0);
}
```

```
void *fn(void *vargp) {
    int myid = *((int *)vargp);
    Free(vargp);
    process(myid);
    return NULL;
}
```

**Question:** Outline an approach to avoid race conditions that doesn't use Malloc/Free. What are the advantages/disadvantages of your approach?

# Q: Synchronization

```
int main() {  
    pthread_t tid[N]; int i, *ptr;  
    for (i=0; i<N; i++) {  
        Pthread_create(&tid[i],NULL,fn,(void*)i);  
    }  
    for (i=0; i<N; i++)  
        Pthread_join(tid[i], NULL);  
    exit(0);  
}
```

```
void *fn(void *vargp) {  
    int myid = (int) vargp;  
    process(myid);  
    return NULL;  
}
```

**Answer:** Simply pass in the int directly!

Pro: No added overhead due to malloc/free.

Con: Assumes that pointer datatype is at least bigger than size of int. May not be true on all systems.

# Q: Semaphores

```
int main() {
    sem_t s, t;
    pthread_t tid1, tid2;
    int v1 = 1; int v2 = 2;
    Sem_init(&s, 0, 2);
    Sem_init(&t, 0, 2);
    P(&s); P(&t); P(&t);
    Pthread_create(&tid1, NULL, fn, &v1);
    Pthread_create(&tid2, NULL, fn, &v2);
    while (1);
}
```

```
void* thread(void* vargp) {
    P(&s);
    V(&s);
    P(&t);
    V(&t);
    printf("HERE: %d\n",
           *((int*)vargp));
    return NULL;
}
```

**Question:** What are the possible outputs of this program?  
Explain your answer.

# Q: Semaphores

```
int main() {  
    sem_t s, t;  
    pthread_t tid1, tid2;  
    int v1 = 1; int v2 = 2;  
    Sem_init(&s, 0, 2);  
    Sem_init(&t, 0, 2);  
    P(&s); P(&t); P(&t);  
    Pthread_create(&tid1, NULL, fn, &v1);  
    Pthread_create(&tid2, NULL, fn, &v2);  
    while (1);  
}
```

```
void* thread(void* vargp) {  
    P(&s);  
    V(&s);  
    P(&t);  
    V(&t);  
    printf("HERE: %d\n",  
        *((int*)vargp));  
    return NULL;  
}
```

**Answer:** Nothing - this program will always deadlock!

# Q: Semaphores

```
int main() {
    sem_t s, t;
    pthread_t tid1, tid2;
    int v1 = 1; int v2 = 2;
    Sem_init(&s, 0, 2);
    Sem_init(&t, 0, 2);
    P(&s); P(&t);
    Pthread_create(&tid1, NULL, fn, &v1);
    Pthread_create(&tid2, NULL, fn, &v2);
    while (1);
}
```

```
void* thread(void* vargp) {
    P(&s);
    V(&s);
    P(&t);
    V(&t);
    printf("HERE: %d\n",
           *((int*)vargp));
    return NULL;
}
```

**Question:** Now, what are the possible outputs of the program? Can deadlock still happen?

# Q: Semaphores

```
int main() {
    sem_t s, t;
    pthread_t tid1, tid2;
    int v1 = 1; int v2 = 2;
    Sem_init(&s, 0, 2);
    Sem_init(&t, 0, 2);
    P(&s); P(&t);
    Pthread_create(&tid1, NULL, fn, &v1);
    Pthread_create(&tid2, NULL, fn, &v2);
    while (1);
}
```

```
void* thread(void* vargp) {
    P(&s);
    V(&s);
    P(&t);
    V(&t);
    printf("HERE: %d\n",
           *((int*)vargp));
    return NULL;
}
```

**Answer:** Either "Here: 1" -> "Here: 2", or vice-versa. Dead lock can't happen anymore.

# Q: More semaphores

Thread 1:

```
P(&s)  
P(&t)  
do_work();  
V(&t)  
V(&s)
```

Thread 2:

```
P(&t)  
P(&s)  
do_work();  
V(&s)  
V(&t);
```

```
sem_t t;      // N = 1  
sem_t s;      // N = 1
```

Will this always deadlock? Sometimes deadlock? Never deadlock? Show execution order for possible cases.

# Q: More semaphores

Thread 1:

```
P(&s)  
P(&t)  
do_work();  
V(&t)  
V(&s)
```

Deadlock:

```
T1      T2  
P(&s)  
P(&t)  
P(&s)  
P(&t)  
T1, T2 stuck!
```

Thread 2:

```
P(&t)  
P(&s)  
do_work();  
V(&s)  
V(&t);
```

```
sem_t t;      // N = 1  
sem_t s;      // N = 1
```

OK:  
T1 T2

```
P(&s)  
P(&t)  
do_work()  
V(&t)  
V(&s)  
P(&t)  
P(&s)  
...
```

# Q: More semaphores

Thread 1:

```
P(&t)  
P(&s)  
do_work();  
V(&s)
```

Thread 2:

```
P(&t)  
P(&s)  
do_work();  
V(&s)  
V(&t);
```

```
sem_t t;      // N = 1  
sem_t s;      // N = 1
```

Will this always deadlock? Sometimes deadlock? Never deadlock? Show execution order for possible cases.

# Q: More semaphores

Thread 1:

```
P(&t)  
P(&s)  
do_work();  
V(&s)
```

OK:

```
T1      T2  
P(&t)  
P(&s)  
do_work()  
V(&s)  
V(&t)  
P(&t)  
...
```

Thread 2:

```
P(&t)  
P(&s)  
do_work();  
V(&s)  
V(&t);
```

```
sem_t t;      // N = 1  
sem_t s;      // N = 1
```

Deadlock:

```
T1      T2  
P(&t)  
P(&s)  
do_work()  
V(&s)  
P(&t)  
T2 is stuck!
```

# Q: Volatility

Louis fell asleep during lecture, and woke up to Prof. Eggert saying "we must use the `volatile` keyword to avoid the compiler optimizing away access to this variable".

Louis thinks: "That's silly. I want my code to be as fast as possible, so I will never use `volatile` in my code."

Give a scenario in which Louis' code may produce incorrect results/behavior.

# Q: Volatility

```
int status; // asynch-modified by hardware
void fn1() {
    status = 0; // reset status var
    while (status == 0)
        sleep(1); // wait for non-zero status
    handle_status(status);
}
```

Since no other visible code can modify status, an aggressive compiler \*may\* optimize fn1() to be:

```
status = 0;
while (true)
    sleep(1);
```

...

However, an "outside" source, ie hardware, may modify status (say, when the user presses a key).

# Q: Canaries

8. Your friend implements his stack-protector as follows:

```
static int canary_safe;
void mygets(char *buff) {
    int canary = rand();
    canary_safe = canary;
    gets(buff);
    if (canary != canary_safe) {
        perror("Smash detected!")
    }
}
```

Is he safe?

# A: Canaries

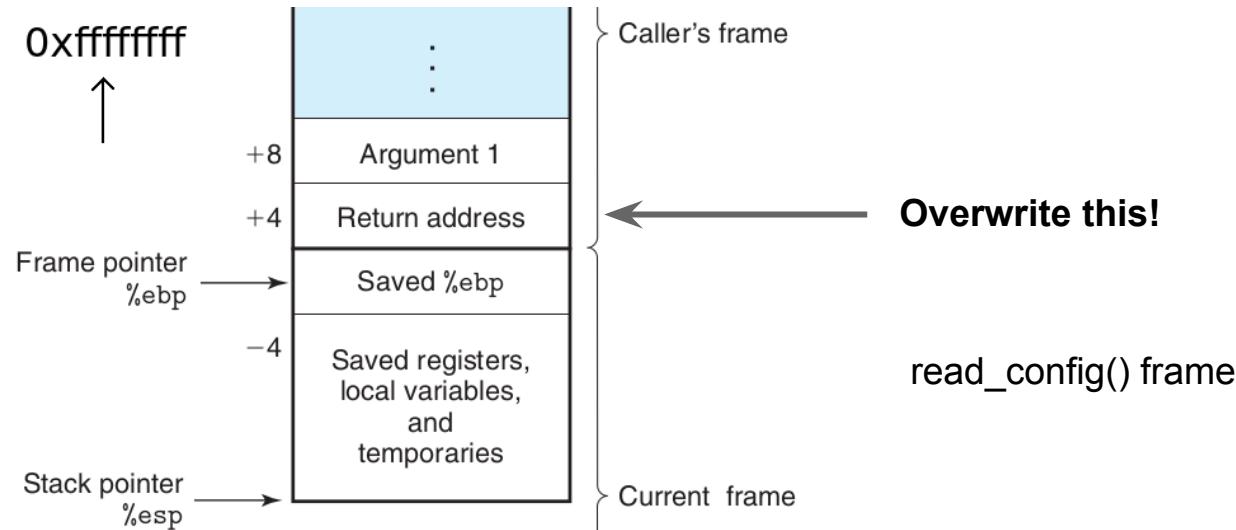
No! buf doesn't live in this stack frame, yet the canary lives in the stack frame of mygets(). Thus, we can overwrite values in the *\*caller's\** stack frame with impunity, ie the caller's saved-eip.

# Lab 3 Q9 Review ("smashing" lab)

- Several approaches to exploit
  - Assume NX-bit is disabled. Must bypass ASLR.
  - Assume ASLR is disabled. Must bypass NX-bit.
  - Assume neither is disabled.

# Overwriting saved-eip

In all attacks, we will be overwriting the saved return address to point to something **\*we\*** control.



# Approach 1: Disable NX bit

With NX-bit disabled, stack memory is executable. Inject x86 code to delete file.

# x86 opcodes

```
(gdb) disas /r unlink
```

Dump of assembler code for function `unlink`:

```
=> 0x00a51d20 <+0>: 89 da    mov    %ebx,%edx
  0x00a51d22 <+2>: 8b 5c 24 04  mov    0x4(%esp),%ebx
  0x00a51d26 <+6>: b8 0a 00 00 00  mov    $0xa,%eax
  0x00a51d2b <+11>:   65 ff 15 10 00 00 00  call   *%gs:
0x10
```

Each x86 instruction is actually represented as hex bytes ("opcodes")

`mov %ebx,%edx` -> `0x89da`

`mov 0x4(%esp),%ebx` -> `0x8b5c2404`

**Inject the opcodes to the stack.**

Which opcodes to inject? Say I stepped into the "call \*%gs:0x10" line in gdb:

```
=> 0x00110420 <+0>: 51  push    %ecx
    0x00110421 <+1>: 52  push    %edx
    0x00110422 <+2>: 55  push    %ebp
    0x00110423 <+3>: 89 e5  mov     %esp,%ebp
    0x00110425 <+5>: 0f 34  sysenter
```

sysenter: Asks system to do a system call.

(Or, can use "int 0x80" to make system call.)

%eax: Which syscall to do (0xa: unlink)

%ebx: First argument to syscall (ie char\* filename)

# Approach 1: Steps

Two steps:

(1) Inject relevant x86 code to stack that deletes "target.txt"

How? Write opcode bytes to config file!

(2) Compute the address of the "target.txt" string.

# Approach 1: Steps

(2) Compute the address of the "target.txt" string.

**Hard way:** guess the address of start of string. With ASLR, there can  $\sim 2^{20}$  choices...ouch.

**Easier way:** use relative addressing!

```
leal $0x42(%esp), %ebx
```

Use gdb to determine exact relative offset from %esp to your "target.txt" string.

# Approach 1: NOP sled

Recall: We have to deal with ASLR. How to guess start address of our x86 opcodes?

NOP-sled!

0x90 90 90 90 90 ... 90 90 <REAL CODE>



Guess **\*any\*** of these addresses, and win!

Attack won't always work, due to ASLR.  
But - if you run it enough times, you'll get a win.

# Approach 2: Disable ASLR

Can't inject x86 code onto stack, due to NX bit.

Instead: trick program into calling the `unlink()` function!

Challenge: set up stack memory so that we pass in correct args to `unlink()`.

(1) Overwrite `read_config()`'s saved-eip to point to `unlink`.

```
(gdb) p/x &unlink
```

```
0x00a51d20
```

Note: This address may change if you change machines.

(2) Write address of "target.txt" to correct stack location for unlink to use.

Where on stack?

```
(gdb) disas /r unlink
Dump of assembler code for function unlink:
=> 0x00a51d20 <+0>: 89 da    mov      %ebx,%edx
    0x00a51d22 <+2>: 8b 5c 24 04 mov      0x4(%esp),%ebx ←
    0x00a51d26 <+6>: b8 0a 00 00 00 mov      $0xa,%eax
    0x00a51d2b <+11>: 65 ff 15 10 00 00 00    call    *%gs:
```

**0x10**

# Approach 3: Hard mode

Bypass NX-bit: Overwrite saved return-addr to &unlink

Bypass ASLR: Use a "NOP-sled", but for file names!

././././././././././target.txt



As long as we land on a '.', we win!

# Approach 3: Hard mode

Obstacle: Unix defines a max filename length of 4096 bytes.

So, can't have too many repeated "./". Restricts our ability to improve chances of guessing successfully.

**Question:** How to bypass this (annoying) max filename length?

././././././././././target.txt